

**STATUTORY DECLARATION FOR
REGISTRATION OF UNIT TRUST CONSULTANTS**

I, _____ NRIC No. _____

of _____

do solemnly and sincerely affirm and declare as follows:

1 I am an agent / staff of unit trust management company / corporate representative of institutional unit trust adviser of * **ASM INVESTMENT SERVICES BERHAD.**

I am not tied to any other unit trust management company or institutional unit trust agent.

2 I am 21 years old or above.

3 I possess a minimum Grade 3 Sijil Pelajaran Malaysia (SPM) or its equivalent.

4 I have not been convicted by any court or other competent authority in Malaysia or overseas for any fraudulent or dishonest acts.

5 I have never been convicted of any criminal offences in Malaysia or overseas.

6 I have never been censured or reprimanded by, or denied / disqualified from membership of a professional or trade body or a regulatory license, registration of similar approval has been refused or revoked in Malaysia or overseas.

7 I have never been subjected to any bankruptcy proceedings nor am I undischarged bankrupt nor have I failed to meet any judgment debts in Malaysia or overseas.

8 I shall uphold the Code of Ethics and Standards of Professional Conduct.

9 I understand that I am subject to disciplinary action for any breaches of the aforementioned Code of Ethics and Standards of Professional Conduct.

10 I understand that any non-disclosure or inaccurate declaration will result in de-registration.

I made this solemn declaration conscientiously believing the same to be true, and by virtue of the provisions of the Statutory Declaration Act 1960.

Subscribed and solemnly affirmed and declared
by the above-named

_____ at

in the state of _____

_____ day of _____, _____
(month) (year)

Applicant's signature

Before me,

Commissioner for Oaths

• *Please strike off whichever not applicable*